

CORPORATION BACKGROUND STATEMENT

RE212(Rev. 10/01)x

Read reverse side before completing.**BACKGROUND INFORMATION**

1. NAME OF DIRECTOR, OFFICER, STOCKHOLDER, ETC. (SEE INFORMATION ABOVE.)	2. SOCIAL SECURITY # – Voluntary (See Privacy Notice)
3. CORPORATION NAME	4. DRE CORP. ID# (if issued)
5. POSITION/TITLE WITH CORPORATE LICENSE APPLICANT	6. BIRTH DATE

7. WITHIN THE LAST TEN YEARS, HAVE YOU RECEIVED AN ORDER OR JUDGMENT ISSUED BY A COURT OR GOVERNMENTAL AGENCY TEMPORARILY OR PERMANENTLY RESTRAINING OR ENJOINING ANY BUSINESS CONDUCT, PRACTICE, OR EMPLOYMENT?

☐ YES ☐ NO IF YES, COMPLETE THE FOLLOWING.

DATE OF ACTION	AGENCY/COURT	CASE #	ADDRESS	EXPLANATION

8. WITHIN THE LAST TEN YEARS, HAVE YOU HAD A BUSINESS/PROFESSIONAL LICENSE TO PRACTICE IN REAL ESTATE OR OTHER REGULATED PROFESSION, OCCUPATION, OR VOCATION BEEN DENIED, SUSPENDED, OR REVOKED IN CALIFORNIA OR ANY OTHER STATE? ATTACH ADDITIONAL SHEETS IF NECESSARY.

☐ YES ☐ NO IF YES, COMPLETE THE FOLLOWING.

TYPE OF LICENSE	LICENSE EXPIRATION DATE	LICENSE IDENTIFICATION #	STATE (ISSUED BY)

9. WITHIN THE LAST TEN YEARS, HAVE YOU CONDUCTED ACTIVITIES INVOLVING ACTS REQUIRING A REAL ESTATE LICENSE OF ANY STATE WITHOUT THE BENEFIT OF A VALID LICENSE OR PERMIT AUTHORIZING THAT CONDUCT, WHICH HAVE BEEN ENJOINED BY A COURT OF LAW OR ADMINISTRATIVE TRIBUNAL?

☐ YES ☐ NO IF YES, COMPLETE THE FOLLOWING.

COURT OF CONVICTION	CASE #	ARRESTING AGENCY	DATE OF CONVICTION
CODE NUMBER VIOLATED	CODE SECTION VIOLATED	DISPOSITION	

10. WITHIN THE LAST TEN YEARS, HAVE YOU BEEN CONVICTED OF ANY VIOLATION OF LAW? (YOU MAY OMIT MINOR TRAFFIC CITATIONS WHICH DO NOT CONSTITUTE A MISDEMEANOR OR FELONY OFFENSE.) ATTACH ADDITIONAL SHEETS IF NECESSARY.

☐ YES ☐ NO IF YES, COMPLETE THE FOLLOWING.

❖ "Convicted" as used in this question includes a verdict of guilty by judge or jury, a plea of guilty or of nolo contendere or a forfeiture of bail. All convictions must be disclosed whether the plea or verdict was set aside and the charges against you dismissed or expunged under Section 1203.4 of the California Penal Code or if you have been pardoned. Convictions occurring while you were a minor must be disclosed unless the record of conviction has been sealed under California Penal Code Section 1203.45 or Section 781 of the California Welfare and Institutions Code.

COURT OF CONVICTION	ARRESTING AGENCY	DATE OF CONVICTION
CODE NUMBER VIOLATED	CODE SECTION VIOLATED	DISPOSITION

CERTIFICATION

I declare under penalty of perjury that the answers and statements given in this Background Statement are true and correct except where stated upon information and belief.

❖ You should review your application to insure it has been properly completed. Failure to complete required portions of the application could result in either processing delays or denial of the license.

11. SIGNATURE OF DIRECTOR, CHIEF EXECUTIVE OFFICER, ETC.	12. DATE
➤	

INSTRUCTIONS

- ✓ A separate RE 212 must be completed by each director, chief executive officer, president, first level vice presidents, secretary, chief financial officer, subordinate officers with responsibility for forming policy of the corporation and all natural persons owning or controlling more than ten percent of its shares who have an affirmative response to one or more of questions 7 through 10.
- ✓ *Please type or print in ink.*
- ✓ One individual per form. Reproduce as necessary. (Only legible photocopies are acceptable; however, signatures must be originals.)
- ✓ If you electronically re-create this form to facilitate completion on a computer, please be advised that the form should not be altered in any manner. To do so, could result in disciplinary action. Also, please make certain you do not delete any preprinted information and are using the latest version of the form.
- ✓ Attach additional pages if more space is needed; each additional page must be appropriately numbered to correspond to the question being answered and signed by the applicant.
- ✓ *Failure to provide complete answers to all of the following questions could result in either processing delays or denial of the license.*

Proof of Legal Presence

Effective August 1, 1998, all applicants for a real estate salesperson, broker, or officer license, must submit proof that they have legal presence in the United States. A proof of legal presence document (i.e. birth certificate, resident alien card, etc.) must be submitted with a State Public Benefits Statement (RE 205) before a license will be issued. Please refer to that form for further instructions.

PRIVACY NOTICE: Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. *Each individual has the right to review personal information maintained by this agency, unless access is exempted by law.*

Department of Real Estate Managing Deputy Commissioner IV
2201 Broadway Licensing Division
Sacramento, CA 95818 Telephone: (916) 227-0931

General powers of the Commissioner, Sections 10050, 10071 and 10075 of the Business and Professions Code, authorize the maintenance of this information.

Business and Professions Code Section 30, added by Statutes 1986, Chapter 1361, Section 1, requires *each real estate licensee* to provide to the Department of Real Estate his or her Social Security number which will be furnished to the Franchise Tax Board. Failure to provide this information is subject to the penalty provided in Revenue & Taxation Code Section 19276. Your Social Security number shall not be deemed a public record and shall not be open to the public for inspection. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes.

Non-licensed officers, directors and stockholders owning more than 10% of the corporate stock are not required to provide the Department with their Social Security number as the information is voluntary. If you elect

to provide the Department with your Social Security number it shall not be deemed a public record and shall not be open to the public for inspection.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, the Commissioner may suspend or revoke a license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license. In addition, processing may be delayed.

The information requested in this form is primarily used to furnish application and license status information to the Department's licensing and regulatory sections.

This information may also be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff's Departments, District Attorneys, Attorney General, F.B.I.), Department of Corporations, Department of Insurance, Department of Consumer Affairs, California Bar Association.